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**STATEMENT UNDER 37 CFR 3.73(b)**

2574.0030000/JAG/JSO

Applicant/Patent Owner: Takamasa HARADA et al.

Application No./Patent No.: 10/500,194 Filed/Issue Date: June 24, 2004 (§371 Date)

Entitled: Nanocomposite Material for the Production of Index of Refraction Gradient Films

Tomoegawa Co., Ltd., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_%)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: see continuation sheet To: Clariant GmbH  
The document was recorded in the United States Patent and Trademark Office at  
Reel 016178, Frame 0160, or for which a copy thereof is attached.
2. From: Clariant GmbH To: AZ Electronic Materials (Germany) GmbH  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017159, Frame 0460, or for which a copy thereof is attached.
3. From: AZ Electronic Materials (Germany) GmbH To: Tomoegawa Co., Ltd.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Makoto Nakagawa  
Signature

JULY 20, 2007  
Date

Makoto Nakagawa

Printed or Typed Name

Telephone Number

Group Manager, Legal & Intellectual Property Group, Tomoegawa Co., Ltd  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Continuation Sheet

Assignors: HARADA, Takamasa  
KITA, Fumio  
ZIMMERMANN, Andreas  
DELLWO, Ulrike  
MENNIG, Martin  
OLIVEIRA, Peter W.  
SCHMIDT, Helmut  
SCHNEIDER, Heike

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DO NOT FORWARD  
TO ASSIGNMENT BRANCH  
NOT FOR RECORDATION

#### ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration, receipt of which is hereby acknowledged, **AZ Electronic Materials (Germany) GmbH**, a corporation organized and existing under the laws of GERMANY and having an office and place of business at Rheingastrasse 160-196, Wiesbaden, GERMANY 65203 (hereafter referred to as the "Assignor"), hereby sells and assigns to **Tomoeagawa Co., Ltd.**, a corporation formed under the laws of JAPAN, having an office and place of business at 5-15, Kyobashi 1-chome, Chuo-ku, Tokyo, Japan 104-8335 (hereafter referred to as the "Assignee"), its entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), and throughout the world, including the right to sue for past infringement and to collect for all past, present and future damages,

(a) in each of the Patents and Patent Applications that are described in detail in Schedule A, annexed hereto and made a part hereof, and

(b) in any and all applications that claim the benefit of the Patents and Patent Applications described in detail in Schedule A, including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals, and reexaminations of such Patents and Patent Applications, to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in each of the Patents and Patent Applications that are described in detail on Schedule A, and in all forms of intellectual and industrial property protection derivable therefrom, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such Patents and Patent Applications, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The Assignor agrees to execute all papers necessary in connection with the applications and Letters Patents as above, and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The Assignor agrees to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the applications or Letters Patents or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application thereof and to cooperate with the Assignee at Assignee's expense in every reasonable way possible in obtaining evidence and going forward with such interference or patent enforcement act.

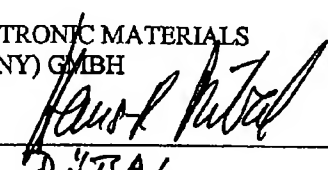
The Assignor agrees to perform all affirmative acts at Assignee's request and expense that may be necessary to obtain or ensure a grant of a valid patent to the Assignee.

The Assignor hereby represents that Assignor has full right and authority to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict therewith.

The Assignor hereby grants the patent practitioners associated with **CUSTOMER NUMBER 26111** the power to insert in this assignment, including the attached Schedule A, any further information regarding the patents and patent applications so identified in such Schedule A that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

SIGNED on behalf of the said ASSIGNOR,

AZ ELECTRONIC MATERIALS  
(GERMANY) GMBH

By: 

Name: DÖBAL

Title: DIRECTOR R&D

Date: JUNE - 06 - 2007

**SCHEDULE A**

**UNITED STATES PATENTS, UNITED STATES PATENT APPLICATIONS AND  
INTERNATIONAL APPLICATIONS THAT DESIGNATE THE UNITED STATES**

	<u>Application No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
1.	10/500,194	06/24/04 (§ 371 Date)		

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